

**Organisation for Social Science Research in  
Eastern and Southern Africa (OSSREA)**



OSSREA Annual Report 2013

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## Executive Summary

The year 2013 ended very well for OSSREA in terms of outputs arising out of research, capacity building, publications and dissemination. The book projects launched in 2011 and processed in 2012 produced ten refereed books published by Fountain Publishers in Kampala Uganda, Palgrave Macmillan in London and others. Another batch of seven books were reviewed and submitted to publishers and will come out in print early 2014. As indicated in the report these books cover critical issues related to climate change, social protection, gender equality/inequality, public sector reforms, land deals, water resources, funding higher education, fragile states, youth unemployment, the role of civil society in conflict prevention and post conflict transformation, gender and energy. These are some of the critical issues outlined in the OSSREA Strategic Plan 2011-2015.

In a special project devoted to the development of teaching materials on gender issues for postgraduate studies, OSSREA processed five teaching manuals on gender, agriculture and natural resources; gender and population dynamics; gender in economic growth and poverty reduction; gender, vulnerability and social protection; and gender in political arenas. These five training manuals were prepared by gender experts from partner universities, subjected to rigorous review and edited by one specialist on gender issues. They were piloted through gender training courses for university staff teaching in gender courses in various universities in Eastern and Southern Africa. The manuals will be published as books early 2014.

Capacity development activities focused on training of trainers involved in teaching and supervising Ph.D students; training of staff teaching gender courses in institutions of higher learning and training staff from universities, NGO's, research organizations and government departments on gender mainstreaming. In the year 2013, the Ph.D training for trainers' course was offered to 51 professors; 53 members of staff teaching gender courses and were given intensive training on gender mainstreaming using the manuals mentioned earlier. Refresher courses on research methodology for staff from universities, NGO's and other organizations were organized in Botswana, Kenya, Madagascar, Mauritius and Uganda. In these countries each course had an intake of 30 participants.

Outreach activities were also undertaken. One of them involved a training course on research methodology for eleven members of the Research and Policy Unit of the Uganda Parliament. The second one involved academic and policy workshops organized at country level. These one day policy workshops brought together academics and policy actors to debate and discuss research findings on critical issues relevant to their countries. In 2013 these workshops were conducted in Botswana, Ethiopia, Kenya, Lesotho, Madagascar, Malawi, Mauritius, South Africa, Tanzania and Zimbabwe.



In addition OSSREA held a joint meeting with SIDA and the OECD for international experts on implementing research and innovation policy in Africa in September 2013. OSSREA was also contracted by the African Capacity Building Foundation to develop a Digest of AU/OAU Instruments 1963-2013 as a contribution of the ACBF to the 50<sup>th</sup> Anniversary of the African Union.

Most of the research output of OSSREA was published in various forms. The East African Social Science Research Review (EASSRR) produced two volumes (Vols. XXIX Nos. 1 and

2) with a total of eleven articles on diverse issues including education, culture, budgets, energy, food security, conflict and international relations. The short term book project produced ten refereed books as aforementioned. The policy briefs from each of these books were presented at the OSSREA Congress in December, 2013.

OSSREA continued its efforts to support refereed journals of social science and humanities in partner universities. These are known as special issue journals. Support for these journals went to Chapters in Ethiopia, Madagascar, Mauritius, South Africa, Sudan, Tanzania, Zambia and Zimbabwe. The Chapters in Mauritius published in French and Sudan in Arabic respectively.

Other information including abstracts from books and the OSSREA Bulletin were published on the OSSREA website. Close to 9 million viewers' accessed information on the website especially on past issues of the East African Social Science Research Review.

In spite of these achievements the financial situation of OSSREA was rather bleak. The grant agreements with NORAD and DANIDA expired on the 31<sup>st</sup> December 2012. Although donors were ready to continue support, they had received an anonymous letter circulated by the former Executive Director before his contact was terminated stating that there was lack of transparency in the management of OSSREA. In response to that allegation NORAD and DANIDA sent two audit teams at different times to check the financial management system at OSSREA. The process started in April and ended in September, 2013. Although it ended with a clean certificate of accounts for the period 2010 to 2012, the procedure stalled funding for OSSREA. Some residual funds were released by DANIDA and SIDA towards the end of the year and some conditions were given to be fulfilled before the donors can resume funding. Between October and December 2013, OSSREA has been working towards fulfilling these conditions and the process will be completed in March 2014.

In the light of these constraints, OSSREA's operations were supported mainly by funds in the provision account. Some left over funds from previous grants were re-allocated and it is these residual funds that supported the 2013 operations. In addition to grant funds, OSSREA obtained revenues from the management of external projects for some colleges of Addis Ababa University; book sales and technical advisory services to the African Capacity Building Foundation and the OECD as mentioned earlier. Details of revenues and expenditure are provided in the main report.



Finally, OSSREA held its 11<sup>th</sup> Congress from the 9<sup>th</sup> to the 11<sup>th</sup> December 2013. It was scheduled for March 2014 but it was brought forward because of organizational issues related to changes in the organization that had taken place between March and October 2013. Furthermore, it was decided that OSSREA Congresses should be held alongside research and dissemination activities. Therefore a conference was organized on the theme ‘OSSREA’s Response to Challenges of Change’. Fifty three authors who had contributed chapters to the books published between 2011 and 2013 were invited to present briefs on their findings. The presentations were made to panels selected by thematic areas. In attendance were policy actors from ministries relevant to each theme who were also discussants. A total of 100 scholars and policy actors attended the conference. Members of the Executive Committee and OSSREA Chapter Liaison Officers also attended the conference and on the 11<sup>th</sup> December 2013 convened the Business Meeting of the Congress at which they received performance and audit reports 2011 to 2012; reviewed and revised the constitution and elected three new members of the Executive Committee to replace those whose term had expired.







## Part I - 2013 Activity Report on Research and Capacity Building

Following its strategic plan 2011 – 2015, OSSREA has been conducting various research and capacity building activities during the last three years. This report highlights the most important achievements for the 2013 fiscal year in the areas of research and capacity building. It mainly features activities performed in 2013 in implementing research projects, capacity building activities, academic-policy workshops and consultancy assignments.

### 1.1 Research projects

OSSREA has two types of research projects, namely: the short-term (book projects) and the long term research activities. In the 2013 fiscal year, OSSREA has completed the research projects started in 2011, worked through the projects that were initiated in 2012, and embarked on one new research project in 2013. Some of the research projects that were initiated in 2011, 2012 and 2013 were not completed due to unforeseen challenges caused by publishers, reviewers and authors. These projects, however, will be completed towards the end of 2013 or beginning of 2014. The following discussion provides the details regarding research activities.

a) Book projects that started in 2011 and were completed and published by regional and international publishers in 2013. As narrated in the section on publication activities, OSSREA finalized and published the following books, which are now being widely disseminated internationally in the academic, policy and research circles;

- Informal and Formal Social Protection Systems in Sub-Saharan Africa;
- Three Decades of Public Sector Reform in Sub-Saharan Africa;
- Impact of Climate Change and Variability on Pastoralist Women in Sub-Saharan Africa;
- Insights into Gender Equity, Equality and Power Relations in sub-Saharan Africa;
- ARV Treatment in Sub-Saharan Africa: Challenges and Prospects;
- Funding Higher Education in Eastern and Southern Africa: Modalities, Challenges, Opportunities and Prospects;
- Vulnerabilities, Impacts and Responses to HIV/AIDS in sub-Saharan Africa.

These books address critical issues of importance to Africa and the global debates on issues they cover. The book on Informal and Formal Social Protection comes at a time of serious debates on social protection. The protagonists of social protection insist that the lack of it will increase vulnerability and push disadvantaged sections of society into deeper chronic and intergenerational poverty. The antagonists view it as a continuation of social welfare which they consider as an incentive to the poor to continue dependence on the state and shirk self responsibility. The book provides insights into what social protection is and what it is not. It contains case studies of



community based and people rather than state driven social protection movements and institutions. The support advocated for such institutions is not based on notions of welfare but state recognition and support that can enable them to grow and to provide services that are regulated and beneficial to their stakeholders. The book was presented at a conference organized by the Dutch Ministry of Foreign Affairs on Social Protection in October 2013. As a result of OSSREA's contribution and that of others, the Ministry of Foreign Affairs in The Netherlands agreed to include social protection in its research programme. Calls for proposal will be made in March 2014.

The book on Climate Change and Variability on Pastoralist Women in Sub-Saharan Africa has attracted interest from regional organizations dealing with resilience in drylands and drought prone regions of Eastern Africa. In September IGAD sent a team of experts to OSSREA to discuss possibility of collaboration in research on drylands and resilience. In October it organized two meetings at which it launched two research programmes on Applied Research on Drylands and another one on the Civil Society Forum for Research on Drylands. Both projects will be funded by DANIDA and OSSREA was privileged to be invited to the consultation meetings that discussed these programmes. OSSREA signed a memorandum of understanding with IGAD for collaboration in research and it is hoped that in 2014, IGAD will put out calls for proposals and OSSREA will make a submission.

The book on Funding Higher Education in Eastern and Southern Africa was published by Palgrave/ MacMillan in September 2013. DAAD immediately purchased over 40 copies for distribution to its offices. By the end of November 2013 over 200 copies had been purchased through Amazon. In May 2014 OSSREA and the Internationalization Network for Higher Education in Africa (INHEA) will organize a special policy dialogue platform to engage regional and national policy actors, donors, experts on higher education on how to increase funding for research and higher education in the region. Apart from considerable purchases of the books on Three Decades of Public Sector Reforms and the one on Insights into Gender Equity, Equality and Power Relations in Sub-Saharan Africa, OSSREA is yet to ascertain the impact and intended use of the findings. Policy dialogue platforms are planned for these publications in Zimbabwe, Kenya, Uganda and Tanzania for 2014. The same platforms will be used for the two books on HIV/AIDS. OSSREA's assessment is that the books provide new insights; are based on case studies which will be used in universities for teaching and by bringing together researchers on similar issues from different countries and disciplines and so it can be said that OSSREA has succeeded in creating a critical mass of researchers on each issue addressed.

b) Book projects started in 2011 but not yet completed:

- 'State Fragility in Eastern and Southern Africa: Drivers, Nature, Extent and Capacity Building Efforts'. The aim of this book project is to conduct in-depth country case



studies and analyse the root causes of state fragility, its nature and extent and assess the effectiveness of capacity building programs aimed at transforming fragile states into a well-functioning states. The book contains 10 chapters covering case studies from Angola, Democratic Republic of Congo, Ethiopia, Kenya, Lesotho, South Africa and Zimbabwe. The book was expected to be published in 2013, unfortunately due to the delays in reviewing and taking decisions by the approached international publishing companies it was not completed in 2013. It is now with an international publisher, named Springer and is expected to be out in 2014.

- ‘The Nexus between Gender and Energy in Sub-Saharan Africa’. This book project has the objective of examining gender differences in energy service demands and access to various energy sources; documenting the physical, mental and health burdens on women, men and children caused due to the lack of energy services; and studying successes and challenges of government, non-government and private organizations interventions (policies, programs, and projects) related to introducing new energy sources and enhancing energy access for both men and women. This book project started in late 2011. In 2012, 12 draft book chapters were selected and submitted to the technical editors. The technical editors reviewed the draft chapters and sent the comments for improvement to the selected authors. Unfortunately the technical editors were not happy with the quality of the revised papers and their geographical distribution. OSSREA in consultation with the editors decided to re-advertise the call in 2013. In response to the new call 30 draft papers were submitted and are under review by external reviewers for comments and selection. The book is expected to be published in 2014.

The book project on State Fragility in Eastern and Southern Africa: Drivers, Nature, Extent and Capacity Building Efforts, has aroused interest on the issue even before it comes out. In July 2013, OSSREA was approached by the Bonn International Centre for Conversion (BICC) to jointly publish research results conducted by research fellows and post graduate students of BICC on conflict in Sudan. BICC and OSSREA have now published those results in a book titled Forging Two Nations: Insights on Sudan and South Sudan in 2013. The two books give deeper insights into roots of fragility and factors that drive its continuity.

- c) Book projects started in 2012 but not yet completed
  - The Role of Civil Society Organizations (CSOs) in Conflict and Post-Conflict Situations in Sub-Saharan Africa. This book project aims to critically examine the role of CSOs in conflict prevention and escalation; as well as in post-conflict reconstruction. It also attempts to derive comprehensive knowledge to inform interventions by various actors toward invigorating the positive roles of the CSOs in conflict transformation in sub-Saharan African countries. In 2012 a call for papers was made, 14 draft book chapters were selected and sent to the technical editors for reviewing and then the comments were



sent to the selected authors. Unfortunately the technical editors were not happy with the quality of the revised papers including the number of countries to be covered. OSSREA in consultation with the editors decided to re-advertise the call in 2013. In response to the new call, 13 draft papers were submitted. The papers were sent to the technical editors, reviewed and comments have been sent to the authors. Authors are expected to submit the revised papers before the end of 2013 and the book will be published by the beginning of the second quarter of 2014.

- Urban Youth Unemployment in Eastern and Southern Africa: Nature, Challenges and Consequences. This book project intends to examine the nature, magnitude, causes and consequences of urban youth unemployment; and discuss the nature, types and effectiveness of government and non-government organizations' interventions to address the issues of unemployment. In 2012, a call for papers was made, 20 draft book chapters were submitted and sent to the reviewers. The reviewers selected only 3 of the draft papers that could qualify for publication with revision. As the numbers of the papers were too small to constitute a book, the call was re-advertised in 2013. In response to the new call 39 draft chapters were submitted and referred to external reviewers for comments and selection. Accordingly, 14 book chapters have been selected and authors will be given detailed comments to revise their papers. The book will be published in the second quarter of 2014.
- d) Book project started in 2013
  - Millennium Development Goals: Assessing Implementation, Achievements, Experiences and Challenge: The aim of this book project is to discuss the status of implementation of the MDG's and their associated targets across various social divides especially on gender (men and women), age (children, youth and elders), and spatial issues (urban, rural, local and regional); examine the reasons behind the successes and the challenges of achieving the goals and associated targets; and analyze the lessons learnt. Accordingly OSSREA made calls for papers and has received 17 papers from different countries. The manuscripts were referred to external reviewers. Once the reviewers submit the results of their evaluation, the same will be sent to the authors to revise and submit the papers. The book is expected to be published in 2014.
  - Long term research projects started in 2011  
Unemployment and Employment Policies and Strategies in Sub-Saharan Africa: The general objective of this long-term research project is to analyze the implementation status of employment policies and strategies as well as to critically assess the political and economic factors that led to the success or failure of the policies and programs and draw lessons to be shared among countries. The grantees of this long term research project submitted their reports in 2012, the manuscripts were referred to external reviewers for comments; the comments were sent to the grantees who submitted their



revised manuscripts. As the manuscripts were very long and could not be published in one or two books, the authors were asked to summarize the manuscripts and submit book chapters to be published as an anthology. The 13 book chapters are now being edited for language and will be published as an anthology by the end of February 2014. The long manuscripts by each author will be thoroughly edited and will be posted on OSSREA website for open access in 2014.

This anthology contributes new insights into the binding constraints on youth as they search for integration into national labour markets. The key constraints identified include:

- Poor preparation of youth for work by institutions of learning and higher education;
  - Mismatches between skills imparted and the needs of the labour market;
  - Lack of accountability on the part of training institutions for the quality of education they provide;
  - Lack of participation by key stakeholders especially enterprises in the design and evaluation of curriculum;
  - The irrelevance of programmes and projects launched to solve the problem of youth unemployment;
  - Lack of transparency in the management of youth development funds and projects;
  - Lack of demand orientation for technical and vocational skills which duplicate already; existing skills thereby exacerbating youth unemployment problems;
  - Deregulation policies that give employers too much power to determine wages which are not supportive of youth development;
  - Stereotypes about youth capabilities to take up challenges in the world of work;
  - Gendered labour market and enterprise policies that operate against females in recruitment and advancement and act as disincentives against job search;
  - Policy implementation challenges that reflect lack of serious institutional capacity.
- Innovative Water Resources Use and Management for Poverty Reduction in sub-Saharan Africa: The aim of this research project is to examine the challenges and successes of existing water use and management systems in urban and rural communities, as well as to analyze national and sub national government policies, programs and projects that are put in place to enhance the use of water resources for poverty alleviation. The grantees of this long term research project submitted their reports in 2012, the manuscripts were referred to external reviewers for comments; the comments were sent to the grantees who submitted their revised manuscripts. As the manuscripts were very long and could not be in one or two books, the authors were asked to summarize the manuscripts and submit their outputs as book chapters. The 10 book chapters are now being edited for language and will be published as an anthology by the end of April 2014. The long manuscripts by each author will be thoroughly edited and will be posted on OSSREA website for open access in 2014.



The anthology contains case studies that throw new light into how water can be gainfully used for poverty alleviation. The studies show for example the following:

- Initiatives by local communities to use local knowledge and systems to harvest, conserve and economically use scarce water resources;
- The vast knowledge local people have accumulated over years on climate change and how to manage it;
- Some case studies give insights into indigenous systems of irrigation especially green water saving techniques, indigenous systems of purifying waste water and making it safe for agriculture and various unconventional techniques of irrigation in rural and urban areas;
- How the participation of local communities in developing and managing irrigation projects has enabled them to use scarce water resources gainfully for poverty alleviation.

The results will be widely disseminated at policy dialogue platforms in 2014 in order to mobilize support from policy makers on how to build their programmes on local initiatives and indigenous knowledge.

- **Transforming International Land Deals into a Vehicle for Rural Development and International Cooperation:** This long-term research project examines the prospects and consequences of the land acquisition transactions for economic development, poverty reduction (food security, social cohesion) and environment sustainability. The grantees of this long term research project submitted their reports in 2012, the manuscripts were referred to external reviewers for comments; the comments were sent to the grantees who submitted their revised manuscripts. As the manuscripts were very long and could not be published in one or two books, the authors were asked to summarize the manuscripts and submit their output as book chapters. The 9 chapters are now being edited for language and will be published as an anthology by the end of February 2014. The long manuscripts by each author will be thoroughly edited and will be posted on the OSSREA website for open access in 2014.

Land issues are very sensitive in Africa as they have formed the basis of anti-colonial struggles all over the continent. Most African countries have launched far reaching land reforms that are going to shape the structure of land ownership and food security in the region. This anthology highlights trends surrounding land transactions. The majority of the studies have come to sceptical conclusions about the potential for these transactions leading to rural transformation. Some of the issues raised include:

- A general finding across all case studies that the land leased to investors was not



all fallow or unoccupied and that some communities have been evicted forcibly without compensation thereby raising issues of governance and social justice;

- In the majority of cases there has not been adequate compensation for those alienated;
- In some cases local authorities have been bypassed by central authorities although constitutionally they are responsible for local land issues. This raises issues of legality and constitutionalism;
- Promises made by some investors to introduce new and advanced technology for agricultural transformation have remained unfulfilled;
- There is evidence of land speculation as some investors have secured leases and failed to develop the land immediately;
- The amounts of rent paid by some investors are miniscule compared to the land leased and the hardships imposed on those alienated;
- Some land transactions have already formed centres of conflict between the state and foreign investors on the one hand and local communities on the other;
- Policy recommendations include among others: people centred approaches; adequate consultation and compensation to alienated communities; investor – community partnerships; compliance with FOA standards on sustainable land use and AU and ECA guidelines on equitable and inclusive utilization of land.

In the first quarter of 2014, policy dialogue platforms will be used to engage policy actors on how to use the research results to achieve sustainable land use for inclusive and conflict free development.

Teaching Materials on Gender Issues for Post-graduate Gender Studies Programs in Sub-Saharan Africa Higher Learning Institutions: This project aims at producing teaching modules for students of gender studies at Masters' Degree level. These modules are expected to address the dire shortage of teaching materials in higher institutions across Africa running post graduate programs in gender studies. The development of the teaching materials has now been completed and will be published by the end of February 2014. In collaboration with some of OSSREA's partners, the teaching materials are expected to be translated into French, Portuguese and Arabic for wider distribution. The following are the titles of the teaching manuals:

- Gender and Population Dynamics (Kennedy Ondimu with F. N. Kraro and B. M. Onsarigo);
- Gender Issues In Economic Growth and Poverty Reduction ((Leonorah Tendai Nyaruwata with Tabeth Ndorochideya) ;



- Gender, Agriculture and Natural Resources (Fekadu Beyene with Zelalem Namera);
- Gender, Vulnerability and Social Protection (Susan M. Kilonzo with Christine A. Ombaka and Kitche O. Magak);
- Gender in Political Arenas (Rudo Gaidzanwa with Rose Jaji).

Prior to their publication, the five manuals were piloted through two gender mainstreaming workshops. One of them was held in Kampala in June 2013 and the other one in Nairobi in October 2013. Each of them was attended by 30 participants from 14 universities in each case. These were staff teaching gender courses in the Masters' programmes of their respective universities. The evaluation from the participants has been very positive and two more workshops will be launched for gender issues trainers in 2014.

e) Long term research projects started in 2012

International Migration and Development: Sub-Saharan Africa in Perspective – this long term research project is aimed at analyzing the nature and types of south-south migration within sub-Saharan Africa, and migration from Sub-Saharan African countries to the North paying attention, inter alia, to types of professions and skills of migrants, brain drain, human rights abuse, brain gain, remittances, technology transfer and gender dimensions of migration. It will also examine successes and challenges of Sub-Saharan African countries' national policy responses to the negative implications of brain drain and human rights abuses of migrants as well as to encourage remittance flows, technology transfer and engaging the African Diaspora to contribute to Africa's recovery. The grantees of this long term research project submitted their reports in 2013, the manuscripts were referred to an external reviewers for comments; the comments were sent to the grantees who submitted their revised manuscripts. The manuscripts are now submitted to a technical editor, who will work with the authors of the manuscripts to complete all the required tasks. The manuscripts will be published in 2014.

## 1.2. Capacity Development Projects – Research methodology

During 2013 OSSREA conducted three types of research methodology training. These include: research methodology training workshops for PhD candidates; refresher research methodology workshops for supervisors and trainers of PhD candidates, and intensive advanced research methodology courses for faculty of OSSREA member country universities. Major highlights of OSSREA's research capacity building activities are listed below.

a) Research Methodology Training for PhD Students in RESSESA Partner Universities

As part of its program on 'Research School for Social Sciences in Eastern and





Southern Africa (RESSESA)', OSSREA since 2011 has been supporting partner Universities to offer collaborative research methodology training workshops for their PhD students in the field of social sciences and humanities. The training was aimed to help PhD students to acquire necessary skills in research proposal development; gain hands-on knowledge on qualitative and quantitative research methods and data analysis including using SPSS software. In 2013 OSSREA supported 6 universities to conduct four weeks intensive research methodology training courses for PhD candidates. Each of these six universities provided the training for up to 30 students respectively. The staff offering these courses came from host and RESSESA partner universities. The courses were offered at the following 6 universities:

- University of Zimbabwe, Zimbabwe;
- Addis Ababa University, Ethiopia;
- University of Namibia, Namibia;
- University of Khartoum, Sudan;
- Catholic University for Eastern Africa (Kenya);
- University of Dar Es Salaam, Tanzania.

#### b) Refresher Research Methodology Training for Lecturers and Supervisors of PhD Students

In 2013 OSSREA organized two 'Refresher Courses on Teaching Research Methodology' for university professors teaching research methodology courses at postgraduate level and supervisors of PhD candidates. The aim of these interactive 2 weeks training of trainers' courses was to refresh and equip senior faculty, who are teaching research methods courses and supervise PhD candidates, with advanced theories and practices in emerging quantitative, qualitative, and mixed research methods.

The first refresher course was conducted between 22 May and 1 June 2013 at Ridar Hotel in Seeta, Uganda and attended by 26 participants (8 Females and 18 Males) who were drawn from universities based in 8 countries, namely Ethiopia, Kenya, Rwanda, Sudan, Swaziland, Tanzania, Uganda and Zimbabwe.

The second workshop was conducted in Nairobi, Kenya from 19 to 30 August 2013. It was attended by 25 trainees (16 M and 9 F) drawn from 11 countries: Botswana, Ethiopia, Kenya, Madagascar, Malawi, Rwanda, South Africa, Sudan, Tanzania, Uganda and Zimbabwe.

The above interactive refresher research methodology training workshops provided university lecturers and mentors with a meta-framework and a step-by-step guide for conducting quantitative, qualitative, and mixed methods research and included discussion of models on teaching research methodology courses. The training focused on the following particular topics:

- 1) Conceptualizing quantitative, qualitative, and mixed research studies (e.g.,



- determining the goal[s], objective[s], rationale[s], and purpose of study; developing research questions);
- 2) Planning quantitative, qualitative, and mixed research studies (e.g., selecting the sampling scheme; selecting the research design);
  - 3) Implementing quantitative, qualitative, and mixed research studies (e.g., collecting data; analyzing data, validating data, interpreting data);
  - 4) Utilizing the research findings (e.g., reformulating the research questions; disseminating the findings);
  - 5) Applying statistical software (e.g., SPSS, SAS), qualitative software (e.g., NVIVO), and mixed research software (e.g., QDA Miner) that integrate a variety of statistical analysis and text analysis techniques.

These refresher courses were appreciated by all participants for enhancing their knowledge and skills on advanced and up-to-date methods of data collection and analysis techniques, mixed methods research design, and modalities of teaching research methodology courses. Many participants attested to the same. For instance a participant from Uganda e-mailed the facilitators stating that: ‘... I cannot find the right words to satisfactorily express my appreciation for your expertise, generosity and diligence. Suffice it to say, thank you very much. To Rebecca, thank you too: your enthusiasm and willingness to share were just as amazing.’ Another participant from Kenyatta University also emailed: ‘The training workshop was quite refreshing and we have gone back to our stations re-energized as we share what we learned with our colleagues and students. Thanks to the OSSREA for coming up with such a wonderful program. ...the training was an eye-opener on the vastness of research methodology as an independent academic discipline.’

c) Intensive Research Methodology Training Workshop for Academic Staff:

Cognizant of the challenges faced in conducting research and writing scholarly articles by members of academic staff in many eastern and southern African universities, in 2013 OSSREA organized and provided support for one week research methodology training workshops at national level. The training workshops were coordinated by OSSREA Chapters in close collaboration with the Universities in OSSREA member countries. The topics of the training workshops were demand driven and were identified by the Chapters and the respective universities. As reported by the Chapters the common themes of the training included: research proposal development, qualitative and quantitative data analysis, statistical packages in qualitative and quantitative research, scientific writing, and publishing in scientific journals. The following table provides the Chapters and training topics offered in 2013.



Ser. No.	Chapter's Name (Country)	Workshop Date	Title of the Training
	Botswana	4-8, November, 2013	Quantitative research
	Kenya	26-30, August, 2013	Research Proposal Development and Scientific Writing
	Madagascar	11- 15, November, 2013	Mixed methods research
	Mauritius	21-25, October, 2013	Qualitative Research Methods
	Uganda	6-7, August, 2013	Research methods for Graduate Trainers and Supervisors
	Zimbabwe	5-9, August, 2013	Developing fundable research proposals, quantitative and qualitative research, scientific writing

D) Research Methodology Training for Collaborative Institutions: OSSREA organized a four days interactive refresher course for staff of the Uganda Parliament. 11 members of the Research and Policy wing of the Parliament were trained from 3rd – 6th, June, 2013 at OSSREA HQs in Addis Ababa. The training enabled participants to be exposed to the process of social science research; to acquire skill sets in the area of quantitative and qualitative data collection, data analysis techniques and report writing; and to understand concepts of public policy, steps in public policy making, and monitoring and evaluation in public policy. OSSREA is planning to conduct such collaborative capacity building with other institutions in the region. It has also developed a joint proposal on research and capacity building with East African Community – East African Legislative Assembly for capacity development for the secretariats of the five parliaments in the five countries of the East African Community and to carry out joint research on the pace and progress of regional integration in this community.

### 1.3 Capacity Development Projects – Gender Mainstreaming

OSSREA has been conducting various forms of training on gender mainstreaming during the last decades. The training activities were mainly focused on mainstreaming gender and gender budgeting. In 2013, OSSREA organized two regional gender training workshops that are focused on enhancing the knowledge and skills of academicians and practitioners on topical issues. These include: Gender Issues in Economic Growth and Poverty Reduction; Gender and Population Dynamics; Gender, Agriculture and Natural Resources; Gender, Vulnerability and Social Protection; Gender in Political Arena. The training courses were based on the teaching materials developed by OSSREA for Masters level gender studies programs. In addition to inculcating adequate and timely knowledge and skills for participants



on the topics mentioned, the training was used to pilot test the training materials. The first training was on two topics: ‘Gender Issues in Economic Growth and Poverty Reduction’ and ‘Gender Mainstreaming Political Arenas’. The training was conducted from 22 May to 1 June 2013 and was organized at Seeta, Uganda. Twenty seven participants (17 female and 10 male), drawn from 11 countries (Ethiopia, Kenya, Lesotho, Malawi, Rwanda, Sudan, Swaziland, Tanzania, Uganda, Zambia and Zimbabwe) took part in the training workshop. The overall aim of the training programme was to sensitise the trainees and enhance their understanding of gender mainstreaming in the political and economic arenas. The training on gender in the political arenas in sub-Saharan Africa focused on issues of national, regional, continental and international legal frameworks on gender equity, equality and protection of women’s rights: gender, elections, government and legislative processes with emphasis on progress and impacts, gender and human rights, gender and conflict, violence, peace and security. The training on ‘Gender Issues in Economic Growth and Poverty Reduction’ also focused on imparting skills, knowledge, values and experiences that are critical for the planning and management of gender, economic growth and poverty reduction; and promoting scholarly inquiry into linking human conditions, problems and needs with policies, programmes and services.

The second training workshop was on two themes: ‘Gender Mainstreaming in Agriculture and Natural Resources Management; Gender and Population Dynamics’; and ‘Gender, Vulnerability and Social Protection’. This workshop was conducted in Nairobi, Kenya, from 19 - 30 August 2013. It was attended by 26 trainees (16 female and 10 male) drawn from 8 countries (Ethiopia, Kenya, Malawi, South Africa, Sudan, Tanzania, Uganda and Zimbabwe) in Eastern and Southern Africa. The two weeks training in Gender Mainstreaming covered the following three thematic areas: Gender, Agriculture and Natural Resources (4 days); Gender and Population Dynamics (3 days); and Gender, Vulnerability and Social Protection (3 days).

Participants appreciated the value of both trainings. As one trainee from Kenya pointed out ‘I am compelled to say thanks for a job well done. ..., we learnt and this opportunity has opened a lot of frontiers in my life. I had the chance also to interact with kind and humble people. Thanks a lot and LONG LIVE OSSREA!! It’s my conviction that we continue to be looped for the sake of professional development and prosperity of humanity in our societies because this is where we belong.’

#### **1.4. Academic and Policy Research Workshops**

In 2013 several OSSREA Chapters organized and conducted one day long workshops to bring together academicians, researchers and policy makers to debate on current social science issues in their own countries. The academic – policy workshops are aimed at providing academicians and researchers with the opportunity to write conference papers and present the



same to a bigger audience; and create a forum for debate between academicians, researchers and policy makers on various issues that are topical in each OSSREA member country. The following 10 OSSREA Chapters successfully conducted the workshops on various topics as follows:

Ser. No.	Chapter's Name(Country)	Workshop Date	Title of the Training
	Botswana	13 November 2013	Academic Research and its Role in Shaping Public Policy; Policy Makers and their Role in Shaping Academic Research
	Ethiopia	4 October 2013	The Ethiopian Youth in a Globalized World
	Kenya	23 July 2013	Political Governance and Conflict in Kenya
	Lesotho	10 October 2013	Higher Education in Lesotho: Debating Funding, Governance and Reform Issues
	Madagascar	25 September 2013	Malagasy Higher Education in the Second Decade of the 21st Century: Challenges and Opportunities
	Malawi	20 September 2013	Funding Higher Education Institutions
	Mauritius	18 October 2013	Employment Policies and Strategies
	South Africa	17 July 2013	Democratization of Policy Practices in post-Apartheid South Africa
	Tanzania	17 September 2013	Challenges of Climate Change in East Africa and Adaptation Strategies
	Zimbabwe	15 July 2013	Indigenization, Economic Empowerment and Development in Zimbabwe

## 1.5. Contract Research

In 2013, OSSREA conducted two contract research assignments with the African Capacity Building Fund (ACBF) and OECD. The first assignment was with African Capacity Building Fund (ACBF). This assignment involved the writing of a Digest on selected AU instruments on Regional Integration, Land, Water and Natural Resources Management; Human Resources Development Policies; and Infrastructure and Statistics. This assignment was sponsored by the African Capacity Building Foundation as part of its contribution to the 50<sup>th</sup> Anniversary of the African Union that was celebrated from the 19<sup>th</sup> to the 27<sup>th</sup> May 2013. The Digest has the objective of stimulating awareness about the pace of ratification of AU instruments and encouraging Member States to look at all the past and present instruments and see how far they have gone in the process of their ratification and implementation. The key message in the introduction is that the formulation of new policies by the African Union should go hand in hand with the implementation of already existing policies and commitments.



#### Collaborative International Academic and Policy Workshops:

OSSREA in collaboration with the OECD and Sida organized an international expert meeting on the 19<sup>th</sup> and 20<sup>th</sup>, September, 2013 under the topic ‘Implementing research and innovation policy at policy and institutional level in Africa’. This expert meeting brought together 35 participants from Africa, Asia, and OECD countries to reflect on the changing higher education and research landscape and its implications on research and innovation policy, leadership and implementation in the context of Africa. The specific objectives of the meeting were to: identify professional, capacity and policy gaps to address effective research and innovation management at policy and institutional levels, and identify strategic options that can address the identified gaps. The two days conference discussed trends in research and innovation policy and implications for Africa; research funding instruments and modalities; leadership and management of research at institutional level; African Union initiatives; challenges and opportunities for African universities to increase knowledge production. The discussions of this meeting were guided by conceptual reports and case studies that have been commissioned as a part of the OECD/IHERD project.



## Part II - The Publications and Dissemination Report 2013

### 2.1 The EASSRR Journal 2013

Both issues that come out in January and June every year came out on time and raised different issues:

The EASSRR Volume XXIX No. 1 2013 came out in January with one article from Ethiopia, one from Uganda, one from Lesotho, one from Zimbabwe and the last one from South Africa. The regional representativeness can be seen in the selection and the five articles in this issue were:

- a) Socio-economic and Demographic Determinants of Children's Primary School Enrolment in Ethiopia. This article examines determinants of children's primary school enrolment using the 2005 Ethiopian Demographic and health survey data. The findings generally emphasize the importance of improving household's socio-economic wellbeing to widen opportunity.
- b) Nigiina's as Coping Mechanisms of Peri-Urban Low-Income Mothers in Kampala, Uganda. This article explains how low-income mothers face a number of challenges that evolve around poverty, isolation, powerlessness and vulnerability.
- c) The Influence of Organisational Culture and Job Satisfaction on Intentions to Leave: The Case of A Clay Brick Manufacturing Company in Lesotho. The article explores employee turnover or retention which has dominated the research agenda and attracted practitioners' attention as well as managerial and theoretical implications.
- d) Feminisation of Gender Budgeting: An Uphill Task for Zimbabwe. The article analyses gender budgeting initiatives in Zimbabwe from 2008 and provides comparative data which allows readers an opportunity to draw lessons from other developing countries in the world.
- e) The Panacea and Perfidy of Cultural Rites of Circumcision in African Countries: examples from Kenya, Botswana and South Africa. This article explains that cultural rites can be a panacea when the immense social capital embedded in them is taken stock of as well as that they can be a perfidy when all the retrogressive aspects they constitute are taken into consideration.

The June Journal 2013 issue came out as issue number XXIX No. 2 and had six articles: 4 from Ethiopia one from Zimbabwe and one from The United States by an Ethiopian. This issue is special because there was an accumulation of papers by Ethiopians and the following were selected:



- a) Fossil Fuel and Food Tax Incidence in Ethiopia. The general objective of this article is to analyse and compare fossil fuel and food tax incidence in Ethiopia in different expenditure groups of households considering urban and rural parts of Ethiopia
- b) Analysis of Vulnerability to food Insecurity in Drought-Prone Areas of the Amhara region of Ethiopia: A Case Study in Lay Gaint Woreda. The article examines vulnerability to food insecurity as major social and economic problems in drought prone areas of Ethiopia.
- c) Partners for Progress and Modernisation: Rise and Fall of United States of America’s Soft Power relations with Ethiopia. The paper is a brief historical analysis of US-Ethiopian relations for progress and modernization which produced many tangible and significant outcomes for the benefit of both countries.
- d) Teachers’ Expectations on Academic Achievement and Social Skills and Behaviour of Students with emotional and Behavioural Disorders. This paper reveals that teachers held moderate realistic expectations which correlated with their expectations on social skill and behaviour.
- e) Ethnic Conflict, Interaction and Cohabitation in Africa: The Case of the Nuer and Anuak. This paper looks at the historical root of the Anuak-Nuer conflict and interaction and partly explains how the security and unity of Sudan and Ethiopia is being challenged and questioned in the twenty first century.
- f) Sub-Saharan Africa Electricity Supply Inadequacy: Implications. This paper investigates Africa’s electricity supply problems in-regards to natural causes, oil price shocks, system disruption by conflict and low investment in electricity generation.

#### EASSRR Access Report 2013

Summary	Hits
Days resource(s) accessed	365
Articles (full-text) viewed	6584
HTML Articles (full-text) viewed	1878
PDF Articles (full-text) viewed	4706
TOCs viewed	4469
Journals viewed	1
IPs used to access database	7871

OSSREA managed to get the report from Project Muse about how many people have accessed EASSRR articles globally during the year and as we can see from the table above, a lot of stake holders are accessing information about Africa through the journal.

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## 2.2. The OSSREA Bulletin Volume IX

The bulletin comes out three times a year beginning with the February issue and in 2013 had three feature articles one from Singapore and two from Zimbabwe discussing topics on Rising Economic Partnership Agreements between China, the European Union and African Countries, The Efficacy of Multi-Stakeholder Conflict and Promoting Carbon Sequestration. The June issue was dominated by Zimbabwean authors who wrote on: Agro-Ecological Regions of Zimbabwe, The Debate over Sanctions on Zimbabwe and The Politics of Resource Mobilisation at the Midlands State University. The October issue was much more diversified and had articles from Zimbabwe, Nigeria and Madagascar. The article from Zimbabwe dealt with the Revision of Consumer Price Index Basket while the Nigerian article explored The Need to Apply Geographic Knowledge in Implementing Rural Development and Poverty Reduction Programmes in Developing Countries. The last article from Madagascar explored Traditional Roles in Energy Conservation in Madagascar.

## 2.3. Publications from the Short Term Book Project

- a) Impacts of Climate Change and Variability on Pastoralists Women in Sub-Saharan Africa (Fountain Publishers, Kampala) (10) chapters. The book assesses and examines gender-related risks, vulnerabilities and opportunities associated with climate change and variability on pastoralist communities. It especially offers insight and knowledge that pastoralist women developed on climate change adaptation through experiences in their households and communities and thereby tries to narrow this gap.
- b) Informal and Formal Social Protection Systems in Sub-Saharan Africa (Fountain Publishers, Kampala) (18) chapters. The book examines local sources of vulnerability, poverty and exclusion, and investigates the role of informal and formal social protection systems. It analyzes informal social protection systems (institutional arrangements, gender dimensions, coverage and impact); assesses formal social protection frameworks (policies, programmes and projects; and analyze the synergy between informal and formal social protection systems (how both systems complement and supplement each other)
- c) Thirty Years of Public Sector Reform in Africa: Selected Country Experiences (Fountain Publishers, Kampala) (15) chapters. The book makes comparative analytical studies on the thirty years of experience of public sector reforms in selected Sub-Saharan Africa countries and provides relevant information on best practices, challenges and strategies for improving public sector management performance.
- d) Insights into Gender Equity Equality and Power Relations (Fountain Publishers,



- Kampala) (18) chapters. The book examines, inter alia, gender and economic empowerment and pro-poor growth strategies, policies and programmes; gender equity and equality and national resources management; constitutional and legal frameworks and their impact on gender power relations; structures of gender inequity and inequality in arenas of production – farms, firms, factories, forests etc.; gender aspects of birth, life, health and death; and gender issues in social service delivery especially in health, education, energy and environmental management.
- e) *Antiretroviral Treatment in Sub-Saharan Africa: Challenges and Prospects* (10) chapters (OSSREA, Addis Ababa). The book examines, inter alia, gender and economic empowerment and pro-poor growth strategies, policies and programmes; gender equity and equality and national resources management; constitutional and legal frameworks and their impact on gender power relations; structures of gender inequity and inequality in arenas of production – farms, firms, factories, forests etc.; gender aspects of birth, life, health and death; and gender issues in social service delivery especially in health, education, energy and environmental management.
  - f) *PhD training in Eastern and Southern Africa: The Experience of OSSREA* (11) chapters (OSSREA Addis Ababa). This anthology of PhD training in Eastern and Southern Africa contains eleven papers, eight of which deal with the current status of PhD training in specific countries. All eight papers look into academic standing of universities in the region and provide information on the current operation of PhD training which is of immense relevance and engages the reader on the pressing problems that re faced by academic institutions. The other papers deal with Higher Education Policies within Eastern and Southern Africa and provide a history as well as a realistic role for OSSREA and RESSESA as well as European research school traditions and their relevance to Africa with special reference to the Netherlands, Sweden and the United Kingdom.
  - g) *Vulnerabilities, Impacts and Responses to HIV/AIDS* (Palgrave Macmillan) (13) chapters. Almost four decades since AIDS was first reported in Africa, the epidemic has reached a watershed moment where progress in prevention, care and support programs confronts intransigent socioeconomic and gender rights, barriers and emerging funding uncertainties. While these are grounds for cautious optimism that the incidence of HIV infections and AIDS related mortality can be further reduced, they cannot by themselves end the epidemic. This will require overcoming gendered inequalities, HIV stigma, neglect of high-risk youth and socially peripheralized groups.
  - h) *Funding Higher Education* (Palgrave Macmillan) (12) chapters. The book assesses justifications, degree of effectiveness, strengths and weaknesses; challenges and opportunities of existing higher education funding strategies, policies, and programs.



It also analyzes the external and internal efficiency of public spending on higher education using selected case studies of IHEs.

- i) Forging Two Nations: Insights on Sudan and South Sudan (14) chapters (OSSREA Addis Ababa). This book deals with a variety of themes including: Dispersed violence, contested resources, international assistance and aspects of interdependence.

## 2.4. The Special Issue Journals 2012/13

### SPECIAL ISSUE JOURNALS 2012

- Madagascar-Politique Sociale et Development (8 articles)
- Tanzania- The Utafiti Journal (9 articles)
- Mauritius- The Journal of Social Policies and Development (10 articles)
- South Africa- Africa Education Review (12 articles)
- Ethiopia-Ethiopian Journal of the Social Sciences and Humanities (9 articles)
- Zimbabwe- Southern Peace Review Journal (10 articles)

In 2013 the Directorate of Publications and Dissemination handled three extensions from 2012 which included the Zambia Chapter, the Swaziland Chapter and the Sudanese Chapter while all others completed the production of their journals on time. The winners of the 2013 special issue grants were:

- a) The Ethiopian Chapter with Ethiopian Journal of Development Research;
- b) The Madagascar Chapter with Politique Sociale Et Developpement;
- c) The South Africa Chapter with Journal of Social Sciences;
- d) The Zimbabwe Chapter with Southern Peace Review Journal; and
- e) The Mauritius Chapter with Journal of Social Policies and Development.

Out of the five special issue journals four of the journals finished within the designated deadline of 2013 while the Ethiopian Chapter is still in the process of completion.

- 1) The Madagascar Chapter with Politique Sociale Et Developpement; focused on migration and development and produced 10 articles in French focusing on the dynamics of migration, the sociolinguistic aspect, urbanisation, the economic aspect, resistance against colonialism, ethnicity and cultural identity.



- 2) The South Africa Chapter with Journal of Social Sciences; produced a special issue on The 21<sup>st</sup> Century Trends in Higher Education: The South African Context also producing 10 papers. The articles focused on: racial equity, graduate unemployment, subject choices, teenage pregnancy, access and challenges for students with disabilities, implications on blindness at an open distance learning institution, studying in South Africa, teacher professional learning, effective teaching and peer to peer assessment.
- 3) The Zimbabwe Chapter with Southern Peace Review Journal came out with the theme A Review of the Constitution Making Process in Zimbabwe (2009-2013). The 10 articles focused on: a review of the constitution, impact and implications, implications for local government, the devolution debate, environmental rights, religious pluralism, masculinism, gender and homosexuality and disability.
- 4) The Mauritius Chapter with Journal of Social Policies and Development produced the special issue journal entitled Gender in Economic, Social and Political Arenas. The 11 papers focused on: education growth and gender, gender equality and economic development, empowerment of women, feminist analysis of sexual violence, women entrepreneurs, feminization of the teaching profession, emancipation and vulnerability, welfare of women and teenage pregnancy.

From the special issue journal of 2012 the Sudanese managed to complete their journal in Arabic entitled Elections in Sudan. The 8 articles focused on: leadership selection, participation in elections, a new election act, conflict and peace, international observers and political participation of women. The Zambian Chapter also managed to finish its special issue Journal of Humanities entitled Political Governance, the Environment and Education in Zambia. The 7 articles focused on ethical concerns on climate change, political opportunism, political corruption, gender and energy poverty, environmental citizenship, colonial legacy and socio-economic factors affecting students' access to university education.

## **2.5. OSSREA Websites Activity Report (Jan – Dec 2013)**

The OSSREA website has shown vast improvement in terms of access to the OSSREA website as access to particular publications, information on OSSREA activities as well as e-mail subscribers and face book fans has jumped in numbers when compared to 2012.



a. Number of Page Hits - 2013

Month	Number of Hits 2013
January	502,822
February	545,792
March	787,970
April	819,111
May	1,119,916
June	863,822
July	723,166
August	810,877
September	750,239
October	1,020,160
November	792,782
December	565,444
Total	8,756,309

b. Chapters rank according to website access (hits)

Rank	Jan	Feb	Mar	Apr	May	June	July	August	Sept	Oct	Nov	Dec
1st	Ethiopia	Ethiopia	Ethiopia	Ethiopia	Ethiopia	Ethiopia	Ethiopia	South Africa	Ethiopia	Ethiopia	Ethiopia	Ethiopia
2nd	Kenya	Kenya	Zimbabwe	Zimbabwe	Kenya	Kenya	Kenya	Kenya	Zimbabwe	Zimbabwe	Kenya	Kenya
3rd	Zimbabwe	Zimbabwe	Kenya	Kenya	Zimbabwe	South Africa	Zimbabwe	South Africa	Kenya	Kenya	Zimbabwe	Zambia
4th	Uganda	Zambia	South Africa	South Africa	South Africa	Zimbabwe	South Africa	Zimbabwe	South Africa	South Africa	Zambia	Zimbabwe
5th	Zambia	South Africa	Zambia	Zambia	Uganda	Zambia	Zambia	Zambia	Zambia	Zambia	South Africa	Tanzania
6th	South Africa	Uganda	Uganda	Uganda	Zambia	Uganda	Uganda	Uganda	Uganda	Uganda	Tanzania	South Africa
7th	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Tanzania	Uganda
8th	Sudan	Sudan	Sudan	Sudan	Sudan	Sudan	Sudan	Sudan	Mozambique	Botswana	Botswana	Rwanda

d. Top five countries from where OSSREA's website is accessed

Rank	Jan	Feb	Mar	Apr	May	June	July	August	Sept	Oct	Nov	Dec
1st	US	US	US	Canada	Russia	Russia	Canada	Canada	Canada	US	US	Canada
2nd	Russia	Germany	Ukraine	Russia	Canada	Canada	Russia	Russia	US	Ukraine	Ukraine	United States
3rd	China	China	China	US	Ukraine	US	Ukraine	US	China	Russia	Russia	China
4th	Ukraine	Ukraine	Russia	Ukraine	US	Ukraine	Czech Republic	Ukraine	Ukraine	Ukraine	Ukraine	Russia
5th	France	Russia	Kazakhstan	China	Japan	France	US	China	Russia	China	Brazil	Ukraine



f. Other Information (Jan - Dec 2011-2013)

Services	Jan-Dec 2011	Jan-Dec 2012	Jan-Dec 2013
Number of page hits for www.ossrea.net	3,852,754	5,247,054	8,756,309
Number of page hits for publications.ossrea.net	1,719,356	2,034,629	3,508,941
Number of page hits for members.ossrea.net	123,400	197,363	675,090
Number of E-Mail Subscribers List	8,912	18,683	33,611
Number of OSSREA's Face book Page Fans	848	966	1,119
Number of Twitter Followers	-	519	606



Organisation for Social Science Research in Eastern and Southern Africa (OSSREA)

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## Part III - Finance, Administration and Resource Mobilization

Financial Management and Resource Mobilization Report (January 01 to December 31, 2013)

Major Financial Management Activities Undertaken during 2013

### 3.1 Preparation of the Financial Report of the year 2012

The closing of the accounts of the year 2012 was the first task attended to during the month of January 2013. As soon as the accounts were closed the financial report was submitted for audit by the external auditors of OSSREA. Due to other priorities by the audit firm the audit could not start until late February 2013. The audit concluded with no finding and the report was a clean one like the rest of the previous years. The copy of the audit report including the management letter was distributed to Executive Committee members, donors, chapter offices and other stake holders of OSSREA.

### 3.2 Compilation of the Annual Report of 2012

OSSREA started the year 2013 with a hope that NORAD and Danida will continue supporting OSSREA and allow OSSREA to sign a new grant agreement. Unlike our expectation the new grant agreements were not feasible and the detailed operational plan of the year could not be prepared, instead a summarized action plan was narrated.

### 3.3 Available Funds for the Operations of 2013

No funds were released during 2013. The operation of the year 2013 started with the following opening fund balances that were carried forward from the year 2012:-

Donor	Amount
Norad	417,622
Sida	771,945
Danida	393,504
Total	1,583,071

The above left-over funds were allocated for the various research projects that were being carried out by OSSREA which unfortunately were not finalized by the end of 2012. The justification for the delay was incorporated in the Annual Report of 2012.



### **a. New Grant Agreements**

The grant agreements signed with Norad and Danida expired on the 31st of December 2012. Prior to the expiry of the grant agreements with the two donors, OSSREA had made a request for new grant agreements by submitting a proposal with a number of research topics identified from the strategic plan. Although the donors are positive to continue supporting OSSREA; they were keen to know the implication of the anonymous letter distributed by the former Executive Director while he was instructed to leave office. Norad took the lead to appraise the financial system of OSSREA and the appraisal assignment was given to a local audit firm. Danida later decided to do a financial audit of OSSREA's accounts before the signature of a new grant agreement. The two audits took a long time to be completed and OSSREA was forced to wait for the reaction of the donors. Although Sida's grant agreement was due to expire by the end of 2013, it decided to freeze the releasing of the funds allocated for the operation of 2013 until the finalization of the audit assignments done by the other two donors.

Having realized the magnitude and depth of the process involved in the investigation and there by a delay in the releasing of the grant fund assigned for the year and for getting new agreements, the Secretariat made a written request for a budget neutral time extension both to Norad and Danida to use the left-over money up to the end of 2013 in order to finalize the book projects and the publication of the research reports. It is with the left over money that the operation of the year 2013 has been carried out.

### **b. Examination of OSSREA's Accounts by External Auditors**

Following the decision to delay the new grant agreements by Norad and Danida, the three prominent donors decided to do the audit of OSSREA's accounts to assess the objectivity of the allegations made by the anonymous letter.

During the year 2013 OSSREA was obliged to host three external audits. The first audit was carried out by the external auditors of OSSREA of the accounts of the year 2012. The second audit was made by the request of NORAD and the audit took place by a local audit firm during the month of April 2013. This was an appraisal audit engagement initiated by Norad following the anonymous letter widely distributed to donors in October 2012. The findings of the local audit firm were disputed by the Secretariat and the EC endorsed the reservation of the Secretariat and the matter was discussed in detail during the joint EC/donors meeting in May 2013.

Danida also requested to do a financial audit for the years 2010, 2011 and 2012. Ernst & Young from Denmark in collaboration with the EY Kenya office undertook the audit work during the last week of September 2013. The report was released during October 2013 and in the opinion of the auditors "the financial statements of OSSREA give a true and fair view of the OSSREA's financial position at 31 December 2010, 2011 and 2012 respectively and of the results of its operation for the financial years 1 January – 31 December 2010, 2011



and 2012 in accordance with the historical cost convention and the accounting policies of OSSREA”. The report concluded by mentioning that “It is furthermore our opinion that procedures and internal controls are in place that adequately support that the transactions covered by the financial statements are in accordance with donor appropriations and generally accepted practices of publically funded organizations”.

All donors have communicated OSSREA that the audit of EY concluded with no material finding and have decided to continue supporting OSSREA by putting certain conditions to be fulfilled by OSSREA in order to be allowed to have new grant agreements. With this latest development, Sida released the SEK6.00 million allocated for the year 2013. As the fund was released late in December 2013, the fund will be used for the operation of 2014. OSSREA has accepted the set of conditions and is working towards its fulfilment with the close supervision of the Executive Committee.

### c. Utilization of the Funds Available in the Provision Accounts

Due to the freezing of the grant funds in 2013, more concentration was given to the utilization of the funds in the provision account. The detail of the balances in the provision accounts is enumerated below:

Account Titles	Balance in the provision account		
	...bbf from 2012	Expended during 2013	Balance as at 31 December 2013
International Land Deals	42,485	42,485	0
Unemployment & Employment policies	68,560	68,560	0
Water Resources use and Management	58,892	57,882	1,000
Three Decades of Public Sector Reform	5,500	5,500	0
Informal/Formal Social Protection Systems	7,734	7,734	0
Insights into Gender Equity, Equality, etc,	13,076	13,076	0
Impact of Climate Change	6,404	6,404	0
The Nexus b/n Gender and Energy	65,000	(7,500)	72,500
The role of civil society organization	50,000	(9,400)	59,400
International Migration and Development	53,888	37,137	16,751
ARV Treatment in Sub-Saharan Africa	24,500	24,500	0
Development of Gender Teaching Manuals	23,520	23,520	0
Stabilizing Fragile States	58,500	58,500	0
<b>Total</b>	<b>478,059</b>	<b>328,408</b>	<b>149,651</b>



As indicated above the balances in the provision accounts have significantly reduced as most of the authors have submitted their research manuscripts.

#### d. Reallocation of the Left-over Fund Balances

Whilst OSSREA was attending the queries of the auditors, it was reviewing the status of its research activities that were being finalized to reallocate any leftover money. In this stringent follow up effort, reallocations were made three times during the year; the first in May, the second in October and the last in November 2013. The reallocated budget from Norad support amounts to \$165,825; from Sida - \$214,680 and from Danida - \$193,776 bringing the total budget reallocation to \$574,281. The detail of the reallocated funds is shown on the table showing the movement of the funds for each donor. The reallocation has enabled us to carry out a significant number of capacity building activities with the limited resources that was available.

#### e. Fund Administration Services and Sales of Publications

Due attention has been given to the provision of the fund administration services in anticipation that it will take care of our funding needs at this difficult time of the year. The total of the funds being administered is increasing from time to time. The opening fund balance of the other funds being administered by OSSREA as at January 01, 2013 was \$136,631. The following amounts were received for further administration:

Name of the fund remitting Organization	Amount
Hebrew University of Jerusalem	54,994
Erasmus University of Rotterdam	122,173
Drugs for Neglected Diseases initiatives	72,612
Life and Peace Institute (LPI)	14,233
Infectious Disease Research Institute (IDRI)	59,960
AUC- Reviewing the report of the mid-term evaluation of the 2 <sup>nd</sup> decade of education for Africa 2006-2015	29,200
IDRC – State of competition in Ethiopia	38,764
Total during the year	391,936

By managing the above funds, OSSREA has obtained a net fund administration fee of \$41,671.

The income generated from the sales of publications is also significant. We have managed to sell publications worth of \$15,549.



#### f. Technical Advisory and Training Services

Consultancy work is an additional means of fund raising efforts for OSSREA. Although OSSREA used to participate in call for proposals, all attempts are not bringing good harvests as the preferences of advertisers are individual researchers with minimal headcount as their overhead is minimal. The consultation with regional organizations such as ACBF, IGAD, EALA, SADC and AfDB is progressing well and our submitted proposals may be financed during the next one year. The following are fees from such consultancy and training services generated during the year:

Consultancy Services	Amount
COMMESA (Preparation of the code of conduct for member states)	6,000
Uganda Parliament – RMT	4,000
Preparation of Conference proceeding of the expert meeting organized by OECD/IHERD	4,400
ACBF ( compilation of AUC policy digest)	18,000

#### g. The EC /Donors Joint Performance Review Meeting

Since 2010 Donors and EC members are making a joint meeting to review the operation of the previous year and to approve the action plan of the current year. The same meeting was held on the 22nd of May 2013 where the appraisal report of Norad together with the response and the action plan submitted by OSSREA was discussed in detail.

#### h. Highlights of the Financial Performance of 2013

This section of the financial report is extracted from the draft financial report of 2013 and will give an overview of the financial affairs of OSSREA.

As indicated in part III of the financial brief, there was a fund carried forward balance of USD1,583,071 from the year 2012. No fund was transferred by Norad and Danida as there was no renewed grant agreement. Sida released the allocation of 2013 late in the year and the fund was not allocated and could not be used during the reporting period.

From the total carried forward balance of \$1,583,071 a total of \$1,518,650 has been expended. The left over amount is the grant fund from Sida amounting to \$64,419. Out of the expended amount the institutional support expense is \$662,698. In terms of percentage this is 43.64%.



### *Institutional Support Expenses of the year 2013*

The total of the institutional support expenses for the period January to December 2013 is \$676,865. The following are the highlighted expenses of the year:-

Description of expense	Amount
Salary and benefit	582,962
General Travel	9,005
Meeting expense of the EC	20,607
Stationery expense	2,055
Tea/coffee service	1,544
Consultancy service	5,601
Insurance	22,446
Subscription for magazines, etc.,	4,397
Miscellaneous expense	3,386
Recruitment	5,579
Purchase of ICT supplies	2,877
Communication (telephone, postage)	4,432
Vehicle fuel and insurance	6,243
Vehicle repairs	4,354

The salary and benefit constitutes 86.13% (\$582,962/676,865) of the total institutional development cost whereas the rest 13.87% (\$93,903/676,865) is the other administrative cost covered by the remaining institutional development costs. A payment of \$14,167 for allowances paid to staff during the month of November and December 2014 that has been charged to the reserve fund account is included above.

The approved total institutional development cost budget for the year was \$891,002. The total amount expended is \$214,137 less from the approved budget of the year. A number of positions that are vacant are not replaced due to the current funding constraint and as a result the expenditure is to the low side.



*Research, Publication and Dissemination Activities carried out during 2013*

Support for Impact of Climate Change on Gender	69.83
Research project - Employment/Unemployment Policy	5,917.96
Publication & Dissemination	102,179.70
Support for the Nexus b/n Migration & Development	4,153.48
Research project- Three Decades of Public Sec. Reform	59.98
Support for Regional RMT for PhD trainees	137,945.37
Support for National RMT for PhD trainees	112,862.83
Research project- Water Resources Management	2,790.84
Support for regional Gender Mainstreaming Training	145,859.41
Support for Integration of Gender Issues	8,484.57
Other Funds Administered by OSSREA	380,308.49
Supporting chapter office activities	37,726.34
Support for Stabilizing Fragile States	2,537.25
Research project- Informal/Formal Soc. Protection	84.33
International Land Deals and their Implications	5,841.88
Support for Gender and Energy	15,228.64
Support for civil Society & Conflict Management	18,253.09
Policy conference and 11th Mini congress	128,295.81
Support for publication of the special journal	46,767.47
Support for the Research School (RESSESA)	2,722.30
MDG	1,105.00
Urban Youth and Unemployment	72,494.18
Support for Funding Higher Education	4,571.50
<b>Total</b>	<b>855,951.94</b>



*Expense allocation by donor*

**FUND BALANCE**

The makeup is as follows:-

	Balance 01/01/13	Adjustment/ Transfer	Adjusted		Income	Disbursement	Balance 31/12/13
			Balance	Balance			
NORAD	417,621	-	417,621	-	-	417,621	0
SIDA	771,944	-	771,944	911,640	911,640	707,525	976,060
The Netherlands	-	-	-	37,117	37,117	-	37,117
Others	<u>136,633</u>	<u>1,821</u>	<u>138,454</u>	<u>362,736</u>	<u>362,736</u>	<u>360,102</u>	<u>141,086</u>
Sub- Total	1,326,198	1,821	1,328,019	1,311,493	1,311,493	1,485,249	1,154,263
General Fund (Old account)	283,697	-	283,697	-	-	14,167	269,530
Reserve for Salary	568,081	-	568,081	132,928	132,928	27,799	673,210
Grant from DANIDA	<u>393,504</u>	-	<u>393,504</u>	-	-	<u>393,504</u>	<u>0</u>
Total	<u>2,571,480</u>	<u>1,821</u>	<u>2,573,301</u>	<u>1,404,421</u>	<u>1,404,421</u>	<u>1,920,718</u>	<u>2,097,003</u>

Further breakdowns and movements of the funds:-

NORAD FUND	Balance 01/01/13	Reallocation	Adjusted Balance	Income	Disbursement	Balance 31/12/13





	Balance	Reallocation	Adjusted	Income	Disbursement	Balance
Support for Institutional Development	50,000		50,000	-	50,000	-
Support for the public. of research reports	-	16,827	16,827	-	16,827	-
Support for OSSREA's 10th Congress	41		41	-	41	-
Regional Gender Mainstreaming	75,018	70,000	145,018	-	145,018	(0)
Civil Society and Conflict Management	69,300	(51,047)	18,253	-	18,253	-
Support for Policy Dialogue	100,000		100,000	-	100,000	-
Support for stabilizing fragile states	12,669	(12,669)	-	-	-	-
Support for Funding Higher Education	12,028	(12,028)	-	-	-	-
Support for chapter office activities	(0)	24,300	24,300	-	24,300	(0)
National RMT for PhD candidates	-	54,698	54,698	-	54,698	-
Support for Integration of Gender Issues	98,565	(90,080)	8,485	-	8,485	0
	<u>417,621</u>	<u>-</u>	<u>417,621</u>	<u>-</u>	<u>417,621</u>	<u>0</u>
	<u>Balance</u>	<u>Reallocation</u>	<u>Adjusted</u>	<u>Income</u>	<u>Disbursement</u>	<u>Balance</u>
<b>SIDA FUND</b>	<b>01/01/13</b>		<b>Balance</b>	<b>Income</b>	<b>Disbursement</b>	<b>31/12/13</b>
Contribution to Research projects	97,283	(97,156)	127	-	119	8
Salary and core support	329,468		329,468	455,820	444,767	340,520
Urban Youth and Unemployment	120,000	(53,000)	67,000	-	66,994	6
Publication of Special Journal	18,356		18,356	-	-	18,356



Support for training of Regional Ph trainees	53,085		53,086	(0)
Training of National level PhD trainees	37,866	-	30,183	7,683
Research School (RESSESA)	85,305	-	70,668	14,638
Nexus b/n Migration and Development	19,142	-	4,153	14,989
Support for ICT and publications	45,997	-	30,254	15,743
Support for Stabilizing Fragile States	2,597	-	2,597	0
Support for book project on MDG	75,500	-	1,105	74,395
Support for bank charges	13,000	-	-	13,000
Support for policy dialogue	24,500	-	3,598	20,902
Grant received in advance for the activities of 2014	-	455,820	-	455,820
	<u>771,944</u>	<u>(0)</u>	<u>771,944</u>	<u>976,060</u>
			<u>707,525</u>	
				<u>455,820</u>
				<u>976,060</u>
<b>THE NETHERLANDS FUND</b>				
Salary & Benefit - two prog. specialists	-	-	37,117	37,117
			<u>37,117</u>	<u>37,117</u>
<b>OTHERS</b>				
IDRI	-	-	32,794	27,166
IDRC: State of competition	-	-	5,292	33,471
Hebrew University of Jerusalem	57,555	-	106,158	6,392
DNDi	14,419	-	61,437	25,594
Erasmus University of Rotterdam	6,671	1,183	114,857	15,170
The Royal Tropical Institute (KIT)	38,247	-	9,302	28,945
LPI	19,740	638	30,262	4,349
	<u>136,633</u>	<u>1,821</u>	<u>360,102</u>	<u>141,086</u>
				<u>141,086</u>



	<u>USD</u>	<u>USD</u>	<u>USD</u>	<u>USD</u>
GENERAL FUND (Old Account)				
Balance on 01/01/13	283,697	-		283,697
Staff allowance payments			14,167	(14,167)
Balance on 31/12/13	<u>283,697</u>		<u>269,530</u>	<u>269,530</u>
RESERVE FOR SALARY & OTHER CORE EXPENSES				
Balance on 01/01/13	568,081			568,081
Adjustment during the year:				
UNICEF: Mid –term evaluation		29,200	19,407	9,794
COMESA; Consultancy fee collection	-	6,000	2,853	3,147
Sales of publications and CD	-	15,549		15,549
ACBF: Policy digest	-	18,000	1,553	16,447
Ugandan Parliament: Training	-	4,000	2,016	1,984
OECD: Expert meeting	-	6,315	1,971	4,344
Fax/Tel. and others	-	65		65
Fund administration fee	-	41,671		41,671
Sale of old items		144		144
Exchange rate gain/loss		4,379		4,079
15% VAT returned		7,904		7,904
Balance on 31/12/13	<u>568,081</u>	<u>132,928</u>	<u>27,799</u>	<u>673,210</u>



	Balance 01/01/13	Reallocation	Income	Disbursement	Balance 31/12/13
<b>GRANT FROM DANIDA</b>					
Balance on 01/01/13:	140,000	27,930	-	167,930	(0)
Support for Institutional Dev't Programme	-	46,767	-	46,767	-
Support for publication of special issue journal	-	13,426	-	13,426	-
Support for OSSREA Liaison Offices	14,612	(13,771)	-	841	-
Support for National Gender Mainstreaming	37,334	(37,299)	-	35	(0)
Impact of Climate Change on Gender Employment/Unemployment Policies	24,994	(19,076)	-	5,918	0
Stabilizing Fragile States	40,000	(40,000)	-	-	-
Water Resources use and Management	18,049	(15,258)	-	2,791	0
Three Decades Public Sector Reform	2,800	(2,800)	-	-	-
International land Deals and their implications	26,215	(20,373)	-	5,842	-
Informal/Formal Social Protection Systems	(0)	-	-	-	(0)
Funding Higher education	10,000	(5,428)	-	4,572	-
National RMT for PhD candidates	-	27,982	-	27,982	-
Regional RMT for PhD candidates	-	16,914	-	16,914	-
Public. of EASSRR Journals, Bulletins, etc.,	24,500	30,599	-	55,099	-
Policy Dialogue workshop	-	24,657	-	24,657	-
Gender and Energy	55,000	(39,771)	-	15,229	-
Urban Youth and Unemployment	-	5,500	-	5,500	-
Balance on 31/12/13	393,504	-	393,504	-	393,504
					0



### **3.4. Narrative Administrative Report (January to December 2013)**

#### **a) Placement of Vacant Posts**

As per the realignment of some job positions in late 2012, there were job vacancies that were planned to be filled during 2013. The post of the Research Associate, the Editor, the Library and Publications Assistant were the positions to be filled during the year under review. However, the decision to freeze the grant funds by all donors has forced us to delay the recruitment of the said positions.

#### **b) Renewal of Employment Contracts**

Following the audit of our accounts by Ernst and Young, we have received communication that the existing prominent donors will continue supporting OSSREA provided that OSSREA would conduct a salary survey that could accommodate the regional mix of staff and remove the allowances included in the monthly payroll. OSSREA has committed itself to undertake the survey as immediately as possible and has indicated a time table on the action plan submitted to donors.

On the basis of this latest development all staff employment contract with OSSREA will expire by the 31st of March 2014. An international consulting firm has been hired to perform the salary survey and hope the new salary scale will be approved before the end of March 2014 to enable OSSREA to have new employment contracts with existing staff that will be considered under the new terms and conditions of employment.

#### **c) Staff Composition at OSSREA**

Because of the current staff composition, there is a tendency to categorize OSSREA as a local NGO. It is not the will of OSSREA to have only one regional staff (the ED) working for OSSREA. It is the low remuneration package that discourages regional job seekers. Even those who joined OSSREA couldn't serve OSSREA more than six months.

The intended salary survey might come up with a moderate remuneration package that will attract and retain professionals from the region.

#### **d) OSSREA's 11th Congress**

As per the constitution of OSSREA the 11th congress was scheduled to take place at the beginning of 2014. However having realized the absence of separate funding, consultation was made among the EC members and an agreement was reached to have a Mini-congress instead of the full congress. Besides, it was suggested to have the mini-congress together with the policy conference workshop. The conference took place in Addis Ababa at Desalegn



Hotel from the 9th to the 11th of December 2013. OSSREA managed to conduct the policy conference and the Mini-congress with a total cost of \$128,296.

#### **e) External Auditors of OSSREA**

The last EC/Donors meeting of May 2013 has decided the appointment of new external auditors to audit the accounts of OSSREA starting the year 2013. The Sida office representing the rest of the donors was involved in reviewing the audit TOR and the credentials of the prospective audit firms. Finally it was agreed that HST Chartered Certified Accountants will be the external auditor of OSSREA to audit the accounts of the year 2013.

#### **f) Audited Balance Sheet and Income and Expenditure Statement**

The following tables present the audited balance sheet as at December 31<sup>st</sup> 2013 and the income and expenditure statement for the year ended December 31<sup>st</sup> 2013.



**INDEPENDENT AUDITORS' REPORT ON THE FINICAL STATEMENTS OF  
ORGANIZATION FOR SOCIAL SCIENCE RESEARCH IN EASTERN AND SOUTHERN AFRICA(OSSREA)  
FOR THE YEAR ENDED 31 DECEMBER 2013**

**2.3 STATEMENT OF FINANCIAL POSTION  
AS AT 31 DECEMBER 2013**

	Notes	2013 USD	2012 USD
<b>Current Assets</b>			
Cash at banks and in hand	2.7	2,678,805	3,302,392
Sundry receivables	2.9	<u>1,255</u>	<u>27,947</u>
<b>Total assets</b>		<b><u>2,680,060</u></b>	<b><u>3,330,339</u></b>
<b>Current Liabilities and Fund balance</b>			
Accounts payable	2.10	112,865	177,549
Provision for Employee Service Compensation	2.11	85,794	72,150
Research project liabilities	2.5.5	<u>384,397</u>	<u>509,157</u>
<b>Total Liabilities</b>		<b><u>583,056</u></b>	<b><u>758,856</u></b>
<b>Fund balance</b>			
Balance at the beginning of the year	2.12	2,571,483	2,110,709
Prior Period Adjustment	2.12	1,821	-
Excess of (expenditure) / income		<u>(476,300)</u>	<u>460,774</u>
<b>Fund balance at the year end</b>	2.12	<b><u>2,097,004</u></b>	<b><u>2,571,483</u></b>
<b>Total Funds and Liabilities</b>		<b><u>2,680,060</u></b>	<b><u>3,330,339</u></b>

The financial statements on pages 8 to 19 were approved by management on 31 March 2014 and were signed on its behalf by:

Prof. Paschal B. Miho  
Executive Director

Ato Hassen Abeaw  
Finance and Administration Director





2.4 STATEMENT OF INCOME AND EXPENDITURE

	Notes	2013	2012
INCOME		USD	USD
Norad Grant		--	998,756
SIDA Grant		911,640	878,640
The Netherlands		37,117	52,381
DANIDA			352,045
Reserve for Salary		132,928	106,872
Others		362,736	391,447
<b>Total income</b>		<b>1,444,421</b>	<b>2,780,141</b>
<b>EXPENDITURE</b>			
Support for Impact of Climate Change on Gender		70	57,666
Research project -employment/unemployment policy		5,918	1,563
Support for Institutional Development		676,865	710,253
Publication and Dissemination		102,180	25,500
Support for the Nexus b/n Migration and Development		4,153	80,328
Research Project -Three Decades of Public Sector reform		60	7,200
Support for Regional RMT for PHD Trainees		137,945	167,952
Support for national RMT for PHD Trainees		112,863	114,961
Research project -Water Resource Management		2,791	724
Support for Regional Gender Mainstreaming Training		145,859	45,840
Support for Integration of Gender Issues		8,485	122,379
Other Funds Administered by OSSREA		387,904	406,921
Supporting chapter office activities		37,726	101,627
Support for Stabilizing Fragile States		2,537	87,331
Research project- Informal/Formal Soc. Protection		84	7,898
International Land Deals and their implications		5,842	2,546
Support for Gender and Energy		15,229	65,000
Support for civil Society & Conflict Management		18,253	50,700
Policy conference and 11th Mini congress		128,296	-
Support for publication on special journal		46,767	118,919
Support for research school (ORESSESA)		2,722	30,477
MDG		1,105	-
Urban Youth and Unemployment		72,494	-
Support for Funding Higher Education		4,573	56,824
Expense of the two Programme Specialists		-	56,758
<b>Total expenditure</b>	2.6	<b>1,920,721</b>	<b>2,319,367</b>
<b>Excess(Expenditure) Income</b>		<b>(476,300)</b>	<b>460,774</b>







## ORGANIZATION FOR SOCIAL SCIENCE RESEARCH IN EASTERN AND SOUTHERN AFRICA (OSSREA)

## NOTES TO THE FINANCIAL STATEMENTS (Continued)

## 2.12 FUND BALANCE

	Notes	Balance on 01/01/2013	Adjustment /Transfer	Adjusted Opening Balance	Income	Disbursement	Balance on 31/12/13
NORAD	2.12.1	417,622	-	417,622	-	417,621	-
SIDA	2.12.2	771,945	-	771,945	911,640	707,525	976,060
The Netherlands	2.12.3	-	-	-	37,117	-	37,117
Others	2.12.4	136,630	1,820	138,451	362,736	360,105	141,082
<b>Sub Total</b>		<b>1,326,197</b>	<b>1,820</b>	<b>1,328,018</b>	<b>1,311,493</b>	<b>1,485,251</b>	<b>1,154,260</b>
General Fund (old account)	2.12.5	283,697	-	283,697	-	(14,167)	269,530
Reserve for Salary	2.12.6	568,085	-	568,085	132,928	27,799	673,214
Grant from DANIDA	2.12.7	393,503	-	393,503	-	393,504	-
<b>Total</b>		<b>2,571,483</b>	<b>1,820</b>	<b>2,573,303</b>	<b>1,444,421</b>	<b>1,920,720</b>	<b>2,097,004</b>



INDEPENDENT AUDITORS' REPORT ON THE FINANCIAL STATEMENTS OF ORGANIZATION FOR SOCIAL SCIENCE RESEARCH IN EASTERN AND SOUTHERN AFRICA (OSSREA)

NOTES TO THE FINANCIAL STATEMENTS (Continued)

2.6 The allocation of expenditures to respective donor funds is set out below:-

EXPENDITURE	SIDA	NORAD	DANIDA	OTHERS	Reserve fund	TOTAL
Support for impact of climate change on gender	35	-	35	-	-	70
Research project - employment/unemployment policy	-	-	5,918	-	-	5,918
Support for institutional development	444,767	50,000	167,930	-	14,167	676,864
Publication and dissemination	30,254	16,827	55,099	-	-	102,180
Support for the nexus b/n migration and development	4,153	-	-	-	-	4,153
Research project- three decades of public sector reform	60	-	-	-	-	60
Support for regional RMT for PHD trainees	121,031	-	16,914	-	-	137,945
Support for national RMT for PHD trainees	30,184	54,698	27,982	-	-	112,864
Research project- water resources management	-	-	2,791	-	-	2,791
Support for regional gender mainstreaming training	-	145,018	841	-	-	145,859
Support for integration of gender issues	-	8,485	-	-	-	8,485
Other funds administered by OSSREA	-	-	-	360,105	27,799	387,904
Supporting chapter office activities	-	24,300	13,426	-	-	37,726
Support for stabilizing fragile states	2,537	-	-	-	-	2,537
Research project- informal/formal social protection	84	-	-	-	-	84
International/land deals and their implications	-	-	5,842	-	-	5,842
Support for gender and energy	-	-	15,229	-	-	15,229
Support for civil society & conflict management	-	18,252	-	-	-	18,252
Policy conference and 11 <sup>th</sup> mini congress	3,598	100,041	24,657	-	-	128,296
Support for publication on special journal	-	-	46,767	-	-	46,767
Support for research school (OSSERSA)	2,722	-	-	-	-	2,722
MDG	1,105	-	-	-	-	1,105
Urban youth and unemployment	66,994	-	5,500	-	-	72,495
Support for funding higher education	-	-	4,572	-	-	4,572
	<b>707,525</b>	<b>417,621</b>	<b>393,503</b>	<b>360,105</b>	<b>41,966</b>	<b>1,920,720</b>





## Part IV – OSSREA’s 2014 Operational Plan

OSSREA’s 2014 operational plan will include mainly finalizing the book projects that started during the last financial year and conducting new activities on policy workshops and capacity building.

### 4.1. Finalizing Research Projects

Some of the book projects that were started in 2012 and 2013 were not completed on time due to various problems including the delay in submission of revised papers by authors. These book projects will, however, be completed in 2014. The following table provides the status of the research projects as of the end of 2013 and the remaining tasks to be completed in 2014.

	Research project	Status of the project by the end of 2013	Remaining tasks for 2014
1	State Fragility in Eastern and Southern Africa: Drivers, Nature, Extent and Capacity Building Efforts’.	Book submitted to an international publisher	Follow-up with the publisher and disseminate the book
2	The Nexus between Gender and Energy in Sub-Saharan Africa’.	Chapters submitted by authors are under review	Select the chapters that pass the review will be submitted to technical and copy editors, get the book published and disseminated
3	The Role of Civil Society Organizations (CSOs) in Conflict and Post-Conflict Situations in Sub-Saharan Africa.	Final revised chapters by authors submitted	Select chapters that qualify to be published will be copy edited, published and disseminated
4	Urban Youth Unemployment in Eastern and Southern Africa: Nature, Challenges and Consequences	Final revised chapters by authors submitted	Select chapters that qualify to be published will be copy edited, published and disseminated
5	Millennium Development Goals: Assessing Implementation, Achievements, Experiences and Challenge	Draft manuscripts referred to external reviewers	Select the chapters will be submitted to technical and copy editors and the book published and disseminated
6	Unemployment and Employment Policies and Strategies in Sub-Saharan Africa:	Book submitted to local printers	Follow-up with the printer and disseminate the book
7	Innovative Water Resources Use and Management for Poverty Reduction in sub-Saharan Africa:	Book submitted to local printers	Follow-up with the printer and disseminate the book
8	Transforming International Land Deals into a Vehicle for Rural Development and International Cooperation:	Book submitted to local printers	Follow-up with the printer and disseminate
9	Teaching Materials on Gender Issues for Post-graduate Gender Studies Programs in Sub-Saharan Africa Higher Learning Institutions	Five teaching materials submitted to local printers	Follow-up with the printer and disseminate



## 4.2. Finalizing Special Issue Journal

Some of the special issue journal activities have still not been completed from 2012 and 2013 and so with constant follow up it will be ensured that they are finalized.

	Special Issue Journal	Status of the project by the end of 2013	Remaining tasks for 2014
1	UNISWA Research Journal for Humanities, Social Science and Education by the OSSREA Swaziland Chapter (2012)	Had submitted to reviewers but the board felt the papers could be of better quality and so it was returned to the authors.	The papers will be updated and then they will look for a new publisher in South Africa
2	Ethiopian Journal of Development Research (2013)	One issue is in press while the second one is still with reviewers.	Follow up the issue in press while making sure the second issue is completed

## 4.3. New Activities in 2014

OSSREA will commence new activities in 2014. These new activities mainly include conducting policy dialogue workshops, capacity building, as well as reprint and distribution of publications.

- a. National Policy Dialogue Workshop** - OSSREA will conduct three national policy workshops. These policy workshops will be conducted in three countries namely, Uganda, Kenya, and Zimbabwe. The major objectives of these workshops are:
- To provide policy makers, academicians and researchers with the opportunity to discuss the findings of OSSREA funded research projects in the three countries on various social science issues from 2011 - 2013;
  - To disseminate widely the findings of the researches done under the auspicious of OSSREA on various social science issues in the three countries;
  - To stimulate discuss on utilization of research results by policy actors in the three countries;
  - To solicit views from policy actors on future research by OSSREA in the three countries and sub-Saharan Africa in general; and
  - Increase OSSREA's visibility and mobilize policy makers, academicians and researchers to be members of OSSREA Chapters.
- b. Regional Policy Dialogue Workshop:** OSSREA will conduct a regional policy dialogue forum on one of its research projects – Funding Higher Education in Eastern and Southern Africa: Modalities, Challenges, Opportunities and



Prospects. The outcome of this research project is now published in a book by an international publisher – Palgrave McMillan.

The objective of this workshop is to widely disseminate the research findings on the funding higher education and open an Africa wide debate on this topical issue. By inviting top university officials, policy makers, representatives of international and multinational organizations, OSSREA donors and prominent scholars in the field, the workshop aims at producing policy recommendations on addressing the challenges of funding higher education in Africa.

The workshop will also be used to examine the successes and challenges of one of OSSREA projects – the RESSESA project (Research School in Social Sciences for Eastern and Southern Africa). Using the financial support from SIDA, NORAD and DANIDA, under this project, OSSREA has since 2010 been giving research methodology training for PhD candidates in 10 partner universities and refresher research methodology training for lecturers and supervisors of PhD candidates in the region.

### **c. Regional Gender Mainstreaming Training**

OSSREA will conduct a regional training on gender using the teaching materials developed by OSSREA for Masters level gender studies program. The target groups of this training are university Professors teaching in gender programs and senior gender experts in government and non-government organizations. The focus of the intensive two weeks training workshop will be on three important themes, namely ‘Gender Mainstreaming in Agriculture and Natural Resources Management; Gender and Population Dynamics’; and ‘Gender, Vulnerability and Social Protection’.

### **d. Regional Research Methodology Training**

OSSREA will organize a two weeks ‘Refresher Course on Teaching Research Methodology’ for university Professors teaching research methodology courses at postgraduate level. The aim of this interactive 2 weeks training of trainers’ course will be to refresh and equip senior faculty, who are teaching research methods course and supervise PhD candidates, on emerging concepts and issues of quantitative, qualitative, and mixed research methods. This training of trainers’ workshop will focus on conceptualizing, planning and implementing quantitative, qualitative, and mixed research (qualitative-dominant mixed research, quantitative-dominant mixed research and equal status mixed research; philosophy and techniques of mixed analysis); and utilizing research findings. This intensive methodology training will be tailored on how to teach research methodology courses for PhD students in social sciences and humanities.



### **e. Reprint and Distribution of Publications**

OSSREA will reprint all the publications that have run out of stock and will eventually distribute them to all partner universities, as well as policy stakeholders on the continent and abroad. Regarding distribution of recent publications, the Secretariat had required all Liaison Officers to send addresses of five universities within their respective countries after which all 2012 and 2013 publications will be sent to the respective libraries as part of the dissemination strategy.

### **4.4 Financial and Administrative Activities**

In addition to attending the smooth flow of the day-to-day activities of the organization, the following tasks will be conducted during the year 2014.

#### **a. Salary Survey**

The survey will be conducted during the month of February 2014. There will be an inception report from Ernest and Young, the consultant undertaking the survey after having all necessary documentations from OSSREA and a meeting with Sida office. The consultants will travel to OSSREA HQ to have a preliminary discussion during the last week of February 2014. The draft report will be submitted during the second week of March to be commented upon by OSSREA and donors. The final version will be produced during the last week of March for approval by Donors and the Executive Committee at its meeting during the last week of March 2014.

#### **b. New Employment Contracts**

Following the notice of termination of employment contracts served to all employees, the vacancies both for regional and local positions will be advertised in newspapers. The applications from prospective candidates including from existing staff will be received during the month of February 2014. During the third week of March 2014, the short-listed applicants will be interviewed by the special committee formed by the Executive Committee and assigned the task. The special committee will organize its write-ups and recommendations and the Executive Committee will approve the final list of the successful candidates in order to sign new employment contracts.

#### **c. Review of the Administrative Instruments of OSSREA**

The current manuals had been developed in 2006 and have been in operation for the last seven years. The Financial Policies and Procedures Manual and the Human Resources



Policies and Procedures Manual will be reviewed by a consultant and the revised manuals will be presented to the Executive Committee for approval.

**d. Allocations for 2014 Operations from SIDA Financial Support**

	Item	Expected expenditure
	Institutional development	455820 (50%)
	National policy dialogue workshop (Uganda)	45000
	National policy dialogue workshop (Kenya)	45000
	National policy dialogue workshop (Zimbabwe)	45000
	Regional policy dialogue workshop on Funding higher education	21820
	Training on gender mainstreaming in Malawi	15000
	Regional gender mainstreaming training	122000
	Regional research methodology training	137000
	Reprint and distribution of publications	25000
	Total	455820



**OSSREA Research, Capacity Building, Publication, Administration Activities Plan for 2014**

Tasks	Objectives	Activities	cost	Output	Outcome	Performance indicators	Risk/degree	Risk mitigation plan
<b>National Policy dialogue workshop – Uganda, Kenya, Zimbabwe</b>	To enable policy makers, academicians and researchers discuss the findings of OSSREA funded research projects in the three countries on various social science issues from 2011 - 2013;  To stimulate discussion on utilization of research results by policy actors	Invite policy makers researchers, academicians, and experts in the field and Organize all necessary logistical arrangements in the three countries	USD 135000 (USD 45000 in each country)	90 research reports (30 from each country) presented and discussed; 180 (60 from each country) policy makers, academicians and other stake holders participated in the policy dialogue forum	Research findings utilized as policy inputs	Number of research reports presented number of top level policy makers attended number of researches used as policy inputs	Reluctance on the part of policy makers ( <i>medium</i> )	Advance preparation, communication and follow-up
<b>Regional Policy dialogue workshop on funding higher education in Africa – Addis Ababa</b>	To widely disseminate the research findings of the research project Funding Higher Education in Africa  To conduct Africa wide debate and producing policy recommendations on addressing the challenges of funding higher education in Africa.	Inviting top university officials, policy makers, representatives of international and multinational organizations, OSSREA donors and prominent scholars in the field of Higher education	USD 80000	10 research reports presented and discussed; 60 policy makers, academicians and other stake holders participated in the policy dialogue forum	Research findings utilized as policy inputs	Number of research reports presented number of top level policy makers attended number of researches used as policy inputs	Reluctance on the part of policy makers ( <i>medium</i> )	Advance preparation, communication and follow-up





Regional research methodology training (for trainers and supervisors of PhD students)	To strengthen the skill of trainers and supervisors of PhD students on PhD supervision and research methodology	Advertise RMT, hire facilitators, select trainees and offer two weeks training methodology course for trainers and supervisors of PhD. Students	US\$ 137000	30 supervisors and trainers participated in two weeks training methodology course	Enhanced capacity of trainers and supervisors of PhD. students on research methodology	Number of trainees who completed the RMT	Attracting potential facilitators and trainees ( <i>low</i> )	Advance preparation, communication and follow-up
Regional gender mainstreaming course	To equip academic staff and experts with the state of the art knowledge on the link between gender and population dynamics, agriculture and natural resource management, social protection	Advertise GT hire facilitators; select trainees the region; and offer a two weeks gender mainstreaming course	US\$ 122000	30 members of the academic staff and experts in government ministries/NGOs participated in gender mainstreaming course	pool of knowledgeable persons on gender mainstreaming in population dynamics, agriculture and natural resource management, social protection	Number of trainees who have completed the gender mainstreaming course	Attracting potential facilitators and trainees ( <i>low</i> )	Advance preparation and communication
National gender mainstreaming course – Malawi	To equip government and non-government organization experts with the state of the art knowledge on gender in economic and political arena	Advertise GT hire facilitators; select trainees the region; and offer a two weeks gender mainstreaming course	US\$ 15000	30 members of experts in government ministries/NGOs participated in gender mainstreaming course	pool of knowledgeable persons on gender mainstreaming in economic and political arenas	Number of trainees who have completed the gender mainstreaming course	Attracting potential facilitators and trainees ( <i>low</i> )	Advance preparation and communication



Brochure, Catalogue, and Annual Report	To disseminate information about OSSREA	Update existing information and adding new information	\$ 10,000	1 catalogue, 1 brochure, 1 Annual report	Awareness of what is done at OSSREA	Number of publications	Not producing documents and disseminating (medium)	Getting prepared ahead of time
Publication of EASSRR	To disseminate research findings by encouraging academics and researchers to publish in a reputable journal	Select articles; get them peer reviewed; submit to the editorial board ; copy edit and publish in the journal and bulletin	\$ 20,000	2 journals bi-annually and 3 bulletins tri-annually	Publication and dissemination of research in the African Social Sciences	Timely output of 2 journals and 3 bulletins	Lack of quality articles and timely submission of revised documents (medium)	Speeding up communication with writers and reviewers
Reprint and distribution of publications	Making available research information which is out of print. Disseminating to Universities in Ethiopia and on the continent	Identifying books out of print and reprinting. Identifying universities and sending OSSREA's and most relevant publications	\$25,000	Number of books reprinted and disseminated.	OSSREA research output for researchers and policy makers	Number of books sent	Not being able to reprint and disseminate the research. (medium)	Being proactive and disseminating available research output
Enhanced administrative support	To run and maintain OSSREA's operations	Financial report preparation, budget controlling, payroll payment, contract reviewing and monitoring, project budget preparation and fund raising, stores and management of inventory records, all necessary materials and services put in place	\$923,936	Timely reports to donors; annual activity plan developed and submitted on time; financial report prepared on time for auditing, salary and benefits of employees paid; proper inventory records maintained	Increased transparency, clean audit report, timely financial report submission, adequate materials & HR mgt.; smooth running of all support services	The quality and timely prepared financial reports; quality of audit report; minutes of management meeting; the comparison made between actual and planned payments for salaries , activities and other operating expenses	The budget may not be fully covered from available donors fund (medium); the risk of not having new grant agreements to cover the budget variance (low)	Undertake activities that will contribute to the reserve fund; increasing the donor base



Salary survey	To have a salary and benefit scheme that is comparable to similar regional organizations that will attract and retain regional as well as national job seekers	TOR will be prepared and forwarded to prospective consultants; selection of consultant; contract signature; Current salary and benefit; administrative manual, strategic plan and other relevant literatures to be forwarded to the consultant; follow up of the progress of the survey and implementation of the approved survey result	\$50,000	Approved salary scale available for reference and use	Clear scale available for promotion and new recruitment	Job titles and corresponding remuneration scheme; salary ladders for promotion and yearly salary increases	The scale may not be reflective of the remuneration schemes of regional organizations	To consider as many comparator organizations as possible to make the survey objective and more reliable
Revision of administrative instruments of OSSREA	To have manuals that are to the standard for a regional organization like that of OSSREA	Review of the existing manuals; request envisaged changes from Senior management members and propose changes taking into account the manuals of similar regional organizations	\$25,000	To have revised manuals that are updated and are to the standard	transparent approved manuals that accommodate all administrative and financial procedures to be followed	Types and number of manuals developed		



## Activities Pending New Grant Agreement for the Year 2014

	<b>Activities</b>	<b>Budget</b>
	Research – book projects	
1	Gender and Local Government	100,000
2	Rural Youth Unemployment	100,000
3	Innovative Health care Delivery	100,000
4	Electoral Systems and Election Violence	100,000
	Capacity building	
1	Regional Research Methodology (for supervisors and lecturers of PhD candidates) (1 time)	120,000
2	National Research Methodology (for PhD candidates) (8 universities)	160,000
3	Regional Gender Mainstreaming Trainings (for university professors and experts in government and non-government organizations) (1 time)	120,000
	Policy workshops	
1	National Policy Workshops (National Chapters – 8)	160,000
	Journal	
1	Special Issue Journal (National Chapters – 4)	40,000
2	EASSRR	20,000
	Institutional Development	
1	Salary and Office Running Expenses	585,000
		1,605,000